PTO/SB/21 (02-04)

lader the Reserved Paduction Act of 1995	<b>50 50 50</b>	U.S. Pater	Approved for use through 07/31/2006, OMB 0651-0031 t and Trademark Office; U.S. DEPARTMENT OF COMMERCE on of information unless it displays a valid OMB control number.
Olidar tila Pabarwolk Naddellori Act of 1999.	ijo parson	Application Number	10/823,384 Conf. # 3366
TRANSMITTAL		Filing Date	04/12/2004
FORM		First Named Inventor	Stephen K. Harmon
(to be used for all correspondence after initial filing)		Art Unit	3672
	_	Examiner Name	Unknown
Total Number of Pages in This Submission	7	Attorney Docket Number	284BOT/US102
	ENC	LOSURES (Check all that	apply)
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53		Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a  Provisional Application  Power of Attorney, Revocation  Change of Correspondence Addra  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)	After Allowance communication to Technology Center (TC)  Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter Other Enclosure(s) (please Identify below): Return postcard;
	TURE C	OF APPLICANT, ATTORN	EY, OR AGENT
Firm or Individual name		Gerald W. Spinks	
Signature		24/ Soute	<b>&gt;</b>
Date		July 8, 2004	
CI	ERTIFIC	CATE OF TRANSMISSION	MAILING
I hereby certify that this correspondence is be sufficient postage as first class mail in an entitle date shown below.	eing facsi relope ad	mile transmitted to the USPTO or dressed to: Commissioner for Par	deposited with the United States Postal Service with ents, P.O. Box 1450, Alexandria, VA 22313-1450 on
Typed or printed name		Gerald W. Spinks	
Signature		21/8 pule	Date 1/8/04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	Stephen K. Harmon, et al.	)
Application No.:	10/823,384	) ) Art Uni ) 3672
Confirmation No.:	3366	) 30/2
Filed:	04/12/2004	)
For:	LATCH MECHANISM GUIDE	)
Examiner:	Unknown	)
Attorney Docket:	284BOT/US102	· )

# TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria VA 22313-1450

The Information Disclosure Statement submitted herewith is being filed within three months of the filing of the above-captioned application, or date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits whichever occurs last.

Respectfully submitted this garday of July, 2004.

Gerald W. Spińks Attorney for Applicant Registration No. 32,843 Customer No. 22,875

Transmitted: 102-40707.tm

Information Disclosure Statement and PTO Form 1449



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	Stephen K. Harmon, et al.	)
Application No.:	10/823,384	) ) Art Unit
Confirmation No.:	3366	) 3672
Filed:	04/12/2004	)
For:	LATCH MECHANISM GUIDE	)
Examiner:	Unknown	)
Attorney Docket:	284BOT/US102	)

# INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria VA 22313-1450

The following items are being submitted for this Information Disclosure Statement:

- (1) Preliminary Statements
- (2) Identification of Prior Application In Which Listed Information Was Already Cited and For Which No Copies are Submitted Or Need be Submitted.
- (3) Identification of Information Items Accompanying This Statement.
- (4) Identification of Person(s) Making This Information Disclosure Statement.
- (5) FORM PTO 1449

#### 1. **Preliminary Statements**

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner.

#### 2. Identification Of Prior Application In Which Listed Information Was Already Cited and For Which No Copies Are Submitted Or Need Be Submitted.

This application relies, under 35 U.S.C. 120, on the earlier filing date of prior application 10/260,211 filed on 09/26/2002. The following references were submitted to and/or cited by the Office in this prior application and, therefore, according to 37 CFR §1.98(d), copies are not required to be provided in this application: AA-AS, and AX-BD.

#### 3. Identification of Information Items Accompanying This Statement.

Items AT-AW listed on the attached Form 1449 are U. S. Patents or published applications. This application was filed after June 30, 2003. Therefore, copies of these references are not required.

#### 4. Identification of Person Making this INFORMATION DISCLOSURE **STATEMENT**

Gerald W. Spinks

Attorney for Applicant

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Customer No. 22,875

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(360)692-4506



# CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

I hereby certify that this Information Disclosure Statement (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria VA 22313-1450, with sufficient postage as first class mail pursuant to 37 CFR 1.8(a) on this \_\_\_\_\_\_\_ day of July, 2004.

Gerald W. Spinks

Attorney for Applicant Registration No. 32,843

102-40707.ids

## INFORMATION DISCLOSURE CITATION

ATTY DECKET NO.:

284BOT/US102

APP. NO.: 10/823,384

CONF. NO.: 3366

**EXAMINER:** Unknown

FILING DATE: 04/12/4004

TOR: Stephen K. Harmon, et al.

**GROUP**: 3672

# **U. S. PATENT DOCUMENTS**

. Examiner Initial	Cite No.1	Document Number	Kind Code <sup>2</sup>	Patentee Name or Applicant Name	Document Date	Relevant Passages or Figures (if appropriate)
	ĀA	750,491		Sargert	01/1904	(жирргориме)
	AB	1,337,476		Kliewer	04/1920	
	AC	1,749,869		Baumbach	03/1930	
	AD	1,795,322		Triplett	03/1931	
	AE	2,207,649		Williams, et al.	07/1940	
	AF	2,236,761		Nichols	04/1941	
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	AI	3,152,829		Steele	10/1964	
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	AV	4,368,911		Pringle	01/1983	
	AW	4,756,364		Christensen, et al.	07/1988	

# **FOREIGN PATENT DOCUMENTS**

Examiner <u>Initial</u>	Cite No.	Office Code <sup>3</sup>	Document Number <sup>4</sup>	Kind Code <sup>5</sup>	Patentee Name or Applicant Name	Document Date	Relevant Passages or Figures (if appropriate)	$T^6$

#### **Examiner Signature:**

#### Date Considered:

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique number. <sup>2</sup>Kind of patent document. <sup>3</sup>Two letter WIPO code. <sup>4</sup>JP documents must include year. <sup>5</sup>WIPO kind code. <sup>6</sup>English translation attached.

# INFORMATION DISCLOSURE CITATION

ATTY DOCKET NO.:

284BOT/US102

APP. NO.:

10/823,384

CONF. NO.: 3366

INVENTOR: Stephen K. Harmon, et al.

**EXAMINER:** 

Unknown

FILING DATE: 04/12/2004

GROUP:

3672

## **OTHER DOCUMENTS**

Examiner	Cite	Document (Including Author in CAPITAL LETTERS, Title of Article, Title of Pub., Date, Pages, Vol.,	Issue,
Initial	No.1	Information Publisher, City/Country where published)	$\frac{T^2}{T}$
	AX	BAKER HUGHES brochure; Hydraulic Snipper Overshot; 3/17/2000; one page	
	AY	BAKER HUGHES brochure; Thru-Tubing Fishing Hydraulic Release Overshot; 9/7/2000; one page	
	AZ	BAKER HUGHES brochure; Thru-Tubing Hydraulic Release "GS" Spear; 3/12/2002; one page	
	BA	BAKER HUGHES brochure; TTF Snipper Overshot; 5/7/2002; one page	
	BB	BOWEN manual cover; Full Circle Releasing Spears; date unknown; one page	
	BC	BOWEN manual cover; Short Catch Overshots; date unknown; one page	
	BD	HOUSTON ENGINEERS catalog; HE Style "G" Releasing and Circulating Overshot; Drilling and Fishing Tools; 3/97; three pages	
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			+

**Examiner Signature:** 

Date Considered:

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique number. <sup>2</sup>English translation attached.

Modified Form 1449 102-40707b.frm

Page 2 of 2